Retention and Classification Report

Agency: Department of Transportation. Traffic and Safety Section (854)

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Salt Lake City, UT 84119-5998

965-4288

Records Officer Brandi Trujillo

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 10385

TITLE: Accident collision diagrams

DATES: 1970-

ARRANGEMENT: Numerical by location
ANNUAL ACCUMULATION: 2.00 cubic feet.

DESCRIPTION:

These records are created by the Traffic and Safety division to evaluate intersection design and determine if a signal should be installed. Included are diagrams of accidents occurring at specific intersections during a one year period of time. The information includes street location, year, number of accidents, types of collisions (when they happened, what direction they were going, injuries, etc.) and a key to the symbols of the diagram.

RETENTION:

Retain 7 years.

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

These records are in Archives' permanent custody.

APPROVED: 12/1993

FORMAT MANAGEMENT:

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 7 years and then destroy.

APPRAISAL:

Administrative

This disposition is based on the administrative needs expressed by the agency.

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 10385 TITLE: Accident collision diagrams

(continued)

PRIMARY CLASSIFICATION:

Protected

Page: 3

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 10386

TITLE: Accident record system

DATES: 1978-

ARRANGEMENT: None ANNUAL ACCUMULATION:

DESCRIPTION:

This computer system is created and used by Traffic and Safety for analysis of traffic accident data. This information is reported to the Federal Highway Administration and others, such as emergency medical services, for statistics or counts involving accidents. The system facilitates changes to the roadway environment, signs, fixed objects, and banking. Initially, data comes from the Division of Financial Responsibility, within Public Safety. When the data is entered into the computer, paper copies are returned to Public Safety. Information includes the investigating officer's report of the traffic accident (with details about the vehicle and owner) and road conditions/environment at the time of the accident.

RETENTION:

Retain 7 years.

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

These records are in Archives' permanent custody.

APPROVED: 12/1993

FORMAT MANAGEMENT:

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Computer data files: Retain in Office for 7 years and then delete.

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 10386

TITLE: Accident record system

(continued)

APPRAISAL:

Administrative Fiscal

This disposition is based on the administrative needs expressed by the agency. The time period will also allow for audits.

PRIMARY CLASSIFICATION:

Protected

Page: 5

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 21855

TITLE: Annual reports

DATES: 1975-

ARRANGEMENT: Chronological ANNUAL ACCUMULATION:

DESCRIPTION:

Any record, regardless of format, that is issued by a governmental entity for public distribution at the total or partial expense of that governmental entity. See Utah Code

Section 9-7-101 8(a)(b) (2010) and 9-7-208 (2006)

RETENTION AND DISPOSITION AUTHORIZATION:

These records are in Archives' permanent custody.

AUTHORIZED: 05/20/2010

FORMAT MANAGEMENT:

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in State Records Center for 15 years and then microfilm and transfer to State Archives with authority to weed.

Microfiche master: Retain in State Archives permanently with authority to weed.

Microfiche duplicate: Retain in State Archives permanently with authority to weed.

APPRAISAL:

Historical

Publications which document agency history and functions have ongoing research value.

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 21855 TITLE: Annual reports

(continued)

PRIMARY CLASSIFICATION:

Public

Page: 7

AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 28524

TITLE: Project files

TITLE: Project files DATES: 1920-

ARRANGEMENT: Numerical by Project Identification Number (PIN).

ANNUAL ACCUMULATION:

DESCRIPTION:

These records support the agency's function to improve transportation safety in work zones, school zones, traffic intersections, and crosswalks statewide through the design and incorporation of traffic management systems (Utah Code 72-6-115(1)(b) (2012)). Records are used to document what was in place prior to construction, preparation undertaken for the project, and the final plan and specification for what was constructed. Records may include project plan sets, special provisions, environmental and archaeological clearances, and related correspondence. Includes an index.

RETENTION:

Retain until facility completed.

DISPOSITION:

Transfer to the State Archives with authority to weed.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series is authorized by Archives general schedule SG 6, Item 14.

AUTHORIZED: 09/30/2014

FORMAT MANAGEMENT:

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 5 years and then transfer to State Records Center. Retain in State Records Center for 10 years and then transfer to State Archives with authority to weed.

Computer data files: Retain in Office for 15 years and then transfer to State Archives with authority to weed.

Page: 8

AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 28524 TITLE: Project files

(continued)

APPRAISAL:

Administrative Historical

These records document the agency's adherence to the mandate to prepare and adopt uniform standard plans and specifications for the construction and maintenance of state highways (Utah Code 72-6-102 (1998)). These records show what was constructed and have situation sheets that describe what was in place prior to construction, which is important information to have in case of litigation and for historical research.

Federal Code 23 CFR 409 (2005) addresses the limitation on the use of these records for legal proceedings.

PRIMARY CLASSIFICATION:

Public

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 25632

TITLE: Railroad project files

DATES: 2003-

ARRANGEMENT: Alphabetical by project name, thereunder chronological by year.

ANNUAL ACCUMULATION: 0.50 cubic feet.

DESCRIPTION:

These records support the agency's function to regulate and promote safety at all locations in the state where public accesses and roads cross railroad tracks. Records document the construction, maintenance, and assessment of public roads that intersect with railroad lines. These records may include project plan sets, final environmental impact statements, memoranda, and related correspondence; does not include railroad inspection records.

RETENTION:

Retain until facility completed.

DISPOSITION:

Transfer to the State Archives with authority to weed.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series is authorized by Archives general schedule SG 6, Item 14.

AUTHORIZED: 10/07/2014

FORMAT MANAGEMENT:

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 5 years after project has been completed and then transfer to State Records Center. Retain in State Records Center for 10 years and then transfer to State Archives with authority to weed.

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 25632

TITLE: Railroad project files

(continued)

APPRAISAL:

Administrative Historical

These records document construction projects conducted along Utah's many rail lines and have an enduring research value to the agency and to the public.

Federal Code 23 CFR 409 (2005) addresses the limitation on the use of these records for legal proceedings.

PRIMARY CLASSIFICATION:

Public

Page: 11

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 19413

TITLE: Ski lift data sheets

DATES: 1994-

ARRANGEMENT: Alphabetical by ski area **ANNUAL ACCUMULATION:** 0.10 cubic feet.

DESCRIPTION:

This computer-generated report documents ski lift equipment as it changes over time. The information is also used to identify all ski lifts in Utah using similar equipment in case mechanical failure in one could predict a similar failure elsewhere. Information includes ski area; lift name, type, year installed, manufacturer, code, and identification number; speed, spacing, capacity, and interval statistics; rope characteristics, tensile strength, and manufacturing information; gear box data; and service brake and drive sheave brake model information.

RETENTION:

Retain permanently.

DISPOSITION:

Retain in agency custody.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 02/1998

FORMAT MANAGEMENT:

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Computer data files: Retain in Office until updated and then delete provided a paper copy is printed annually to record historical trends.

Paper: Retain in Office permanently.

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 19413

TITLE: Ski lift data sheets

(continued)

APPRAISAL:

Administrative

This disposition is based on the administrative needs expressed by the agency.

PRIMARY CLASSIFICATION:

Protected 63G-2-305(9)(a), (e) (2008)

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 10384

TITLE: Track and equipment inspection reports

DATES: 1976-

ARRANGEMENT: Alphabetical by location **ANNUAL ACCUMULATION:** 2.00 cubic feet.

DESCRIPTION:

These records are created by the railroad inspectors to document safety inspections on all railroad equipment and railroad tracks. Inspections are required by 49 CFR 206 (1990) and 49 CFR 225 (1990). The data is reported to the federal government and is used to determine fines and forfeitures. The information includes railroad equipment damage, track damage, safety violations, and name of railroad company.

RETENTION:

Retain 3 years.

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

These records are in Archives' permanent custody.

APPROVED: 12/1993

FORMAT MANAGEMENT:

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 3 years and then destroy.

APPRAISAL:

Administrative Fiscal

This disposition is based on the federal audit requirements of these records.

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 10384

TITLE: Track and equipment inspection reports

(continued)

PRIMARY CLASSIFICATION:

Protected 49 CFR 225.25 (1990)

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 10383

TITLE: Traffic signal controller maintenance records

DATES: 1950-

ARRANGEMENT: Chronological

ANNUAL ACCUMULATION: 0.10 cubic feet.

DESCRIPTION:

These diaries are created by field personnel to track the operation of traffic signals for the lifetime of that equipment. The records are maintained at the site of the traffic signal. Information includes maintenance done on the intersection and personal judgment decisions (whether to put the signal on flash, etc.).

RETENTION:

Retain until lifetime of traffic light has expired.

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

These records are in Archives' permanent custody.

APPROVED: 12/1993

FORMAT MANAGEMENT:

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office until lifetime of traffic light has expired and then destroy.

APPRAISAL:

Administrative

This disposition is based on the administrative needs expressed by the agency.

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 10383 TITLE: Traffic signal controller maintenance records

(continued)

PRIMARY CLASSIFICATION:

Protected

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 10382

TITLE: Traffic signal controller repair records

DATES: 1950-

ARRANGEMENT: Chronological

ANNUAL ACCUMULATION: 1.00 cubic foot.

DESCRIPTION:

These forms are created by the signal maintenance supervisor within the Traffic and Safety division. They list repairs made to malfunctioning signal controllers. They also document the technician's decision that the controller is suitable to be returned to service. Information includes a list of repairs completed on the equipment, location of equipment, and personal judament decisions.

RETENTION:

Retain 1 year.

DISPOSITION:

Destroy.

RETENTION AND DISPOSITION AUTHORIZATION:

These records are in Archives' permanent custody.

APPROVED: 12/1993

FORMAT MANAGEMENT:

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 1 year and then destroy.

APPRAISAL:

Administrative

This disposition is based on the administrative needs expressed by the agency.

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 10382 TITLE: Traffic signal controller repair records

(continued)

PRIMARY CLASSIFICATION:

Protected

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 21103

TITLE: Traffic studies

DATES: 1961-

ARRANGEMENT: Numerical ANNUAL ACCUMULATION:

DESCRIPTION:

This series contains reports pertaining to traffic studies. The reports include speed zoning, speed studies, traffic studies of specific highways, speed limit regulations, and traffic signal warrant studies.

RETENTION:

Retain 10 years.

DISPOSITION:

Transfer to the State Archives with authority to weed.

RETENTION AND DISPOSITION AUTHORIZATION:

These records are in Archives' permanent custody.

FORMAT MANAGEMENT:

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 3 years and then transfer to State Records Center. Retain in State Records Center for 7 years and then transfer to State Archives provided no litigation is pending.

Microfiche master: Retain in State Archives permanently with authority to weed.

Microfiche duplicate: Retain in State Archives permanently with authority to weed.

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 21103 TITLE: Traffic studies

(continued)

APPRAISAL:

Administrative Historical Legal These records are mandated by UCA 41-6A-602.

PRIMARY CLASSIFICATION:

Public

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 19412

TITLE: Tramway design plans

DATES: 1970-ARRANGEMENT: None

ANNUAL ACCUMULATION: 1.00 cubic foot.

DESCRIPTION:

These design plans accompany the Tramway licensing applications (series 19411) and are then separated out. They document the design of the ski lifts as drawn by engineers. All ski lifts are unique. Information also includes specifications.

RETENTION:

Retain 2 years after ski lift no longer exists.

DISPOSITION:

Transfer to the State Archives with authority to weed.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 02/1998

FORMAT MANAGEMENT:

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Technical drawings: Retain in Office for 2 years after ski lift no longer exists and then transfer to State Archives with authority to weed.

APPRAISAL:

Administrative

This disposition is based on the administrative needs expressed by the agency.

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 19412

TITLE: Tramway design plans

(continued)

PRIMARY CLASSIFICATION:

Protected 63G-2-305(9)(a), (e) (2008)

Page: 23

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 19415

TITLE: Tramway license certificates

DATES: 1987-

ARRANGEMENT: Alphabetical by ski area **ANNUAL ACCUMULATION:** 0.10 cubic feet.

DESCRIPTION:

These certificates document that the Tramway licensing applications (series 19411) from ski areas have been reviewed by the Utah Passenger Tramway Safety Committee and that a license has been issued. Information includes ski lift name.

RETENTION:

Retain 5 years.

DISPOSITION:

Destroy provided no pending litigation

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 02/1998

FORMAT MANAGEMENT:

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 5 years and then destroy provided no pending litigation.

APPRAISAL:

Administrative

This disposition is based on the administrative needs expressed by the agency.

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 19415 TITLE: Tramway license certificates

(continued)

PRIMARY CLASSIFICATION:

63G-2-305(9)(a), (e) (2008) Protected

Page: 25

3

AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 19411

TITLE: Tramway licensing applications

DATES: 1987-

ARRANGEMENT: Alphabetical by ski area **ANNUAL ACCUMULATION:** 1.00 cubic foot.

DESCRIPTION:

These records document the licensing and annual registration of ski lifts, in accordance with UCA 63-11-37(2)(a) (1997). Existing tramway applications identify the area name, owner, address, fees, summer usage, and attachments such as certificates of insurance, compliance, and inspections. Applications for new or modified tramways include the above plus tramway design certifications and plans (which are then separated and transferred to series 19412). Information includes pre-season and operational inspection reports from approved tramway inspectors, and copies of license certificates.

RETENTION:

Retain 5 years.

DISPOSITION:

Destroy provided no pending litigation

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 02/1998

FORMAT MANAGEMENT:

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 5 years and then destroy provided no pending litigation.

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 19411

TITLE: Tramway licensing applications

(continued)

APPRAISAL:

Administrative

This disposition is based on the administrative needs expressed by the agency.

PRIMARY CLASSIFICATION:

Protected 63G-2-305(9)(a), (e) (2008)

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 19414

TITLE: Utah Passenger Tramway Safety Committee minutes

DATES: 1994-

ARRANGEMENT: Chronological

ANNUAL ACCUMULATION: 0.10 cubic feet.

DESCRIPTION:

These minutes document the meetings of the Utah Passenger Tramway Safety Committee as they oversee function specified in UCA 63-11-37(2)(a) (1997). Includes agenda, meeting minutes, final reports, and related records such as orders prohibiting use of lifts.

RETENTION:

Retain 5 years.

DISPOSITION:

Transfer to the State Archives with authority to weed.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series is authorized by Archives general schedule SG 1, Item 59.

AUTHORIZED: 03/16/2015

FORMAT MANAGEMENT:

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 5 years and then transfer to State Archives with authority to weed.

APPRAISAL:

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 19414 TITLE: 19414 Utah Passenger Tramway Safety Committee minutes

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PRIMARY CLASSIFICATION:

Public

Page: 29

AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 14412

TITLE: Utah Passenger Tramway Safety Committee report of passenger tramway

incidents

DATES: 1994-

ARRANGEMENT: Chronological, thereunder alphabetical by ski area name

ANNUAL ACCUMULATION: 0.10 cubic feet.

DESCRIPTION:

These incident reports and photographs identify incidents which have occurred and conditions in passenger tramways which could endanger the public. The information is used by the Utah Passenger Tramway Safety Committee to prevent incidents involving similar equipment. Under Utah Administrative Code R920-50-13-B (1997), tramway incidents are to be reported to the committee within 24 hours (verbally) and five days (written report). Information includes person to whom the incident was verbally reported and time it was reported, area name, date and time of incident, tramway name or number, description of incident, tramway component associated with the incident, action taken, injuries, evacuation details, and person reporting the incident.

RETENTION:

Retain 5 years.

DISPOSITION:

Transfer to the State Archives with authority to weed.

RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series were specifically approved by the State Records Committee.

APPROVED: 02/1998

FORMAT MANAGEMENT:

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Computer data files: Retain in Office until updated and then delete provided a paper copy is printed annually.

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AGENCY: Department of Transportation. Traffic and Safety Section

SERIES: 14412

TITLE: Utah Passenger Tramway Safety Committee report of passenger tramway

incidents

(continued)

Paper: Retain in Office for 5 years and then transfer to State Archives with authority to weed.

APPRAISAL:

Administrative

This disposition is based on the administrative needs expressed by the agency.

PRIMARY CLASSIFICATION:

Protected UCA 63G-2-305(9)(a), (e) (2008)